



With the support of the
Erasmus+ Programme
of the European Union

Evaluation of research activities

Tbilisi - June 14th and 15th

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Ecole Normale Supérieure de Lyon

and

Hcéres - High Council for Evaluation of Research and Higher Education



Session 1 – How to use evaluation report for further development of research activities?

Dissemination and communication of evaluation results ; ratings and rankings ; resource allocation and evaluation ; follow-up.

Dissemination and communication of evaluation results

- Adversarial process
- Quality of the report
- Transparency
 - Identification of potential readers
 - Authorities
 - Colleagues
 - Students
 - ... and their families
 - Full publication of the evaluation report?
 - Public / non-public version
- Memory - archives

ratings and rankings

- Ratings
 - A+ / A / B / C
 - Outstanding / excellent / very good / good
 - Global / per criteria
 - Methods
 - Milestones
 - E.g. : A+ = 20% best
 - Rating meetings
 - Evaluation officers
 - Experts – chairpersons of experts committees

ratings and rankings

- No ratings
 - Qualitative judgements
 - Metrics ? Support / supplant expert judgement.
 - Strengths
 - Better acceptance of the evaluation process
 - In-depth analysis
 - Weaknesses
 - Underestimates weaknesses
 - Does not show a clear hierarchy
 - Less useful for decision making?

resource allocation and evaluation

- Performance-based research funding (PBRF)
 - allocation of institutional funding on the basis of ex post assessments of university research performance
- Resource allocation
 - Human resources
 - Research funding
- Funding allocation formula?
 - Performance-based Research Funding Systems (PRFS)

resource allocation and evaluation

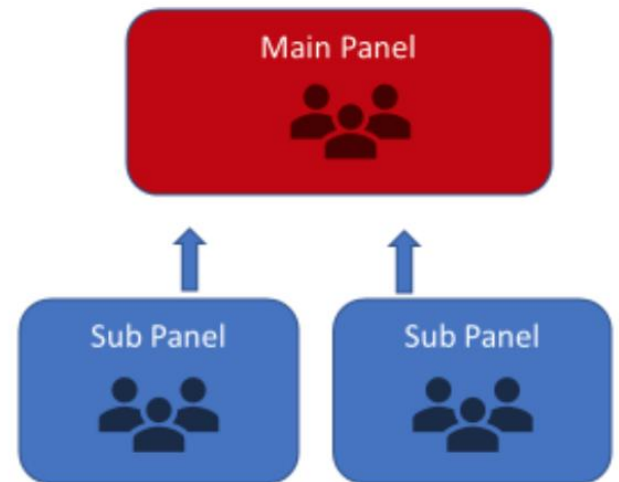
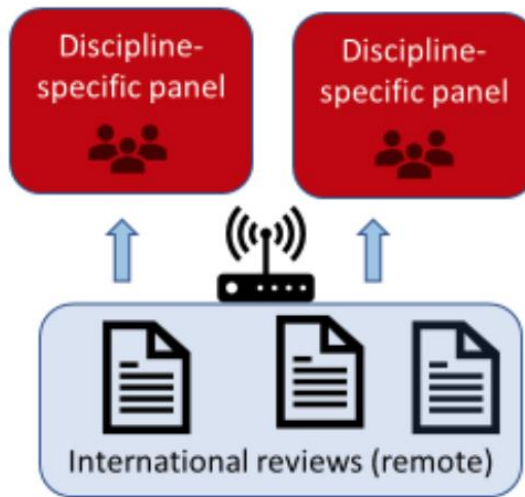
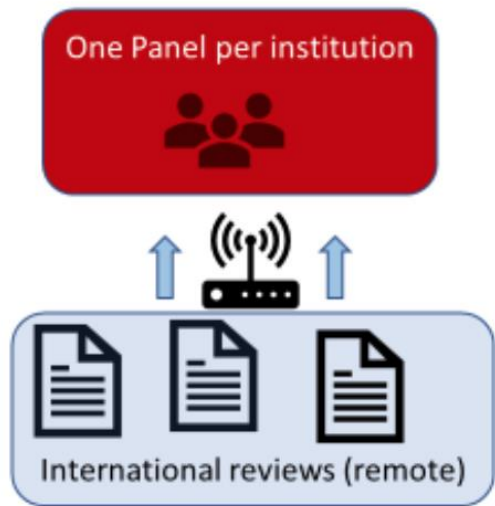
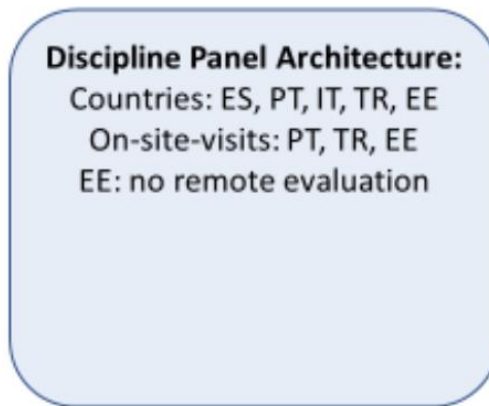
- Funding allocation formula?
 - Performance-based Research Funding Systems (PRFS)
 - metrics-based PRFS
 - Metrics-informed peer review
 - peer review based research evaluations
 - peer review (universities or research organisations)

resource allocation and evaluation

- Funding allocation formula?
 - Performance-based Research Funding Systems (PRFS)
 - metrics-based PRFS
 - Metrics-informed peer review
 - peer review based research evaluations
 - peer review (universities or research organisations)
 - metric-based / mixed
 - $f(\text{Workforce})$
 - $f(\text{workforce} * \underline{\text{performance}})$
 - $f(\text{workforce} * \text{performance} * \text{strategy} * \text{relevance} * \text{impact} * \dots)$
 - Funding vs eligibility to funding

follow-up

- “usefulness” of evaluation
 - Time-consuming et resource consuming process
- Interpretation
- Recommendations
 - Scientific vs managerial
 - $T_{(e)} + 1$
- Changes in the evaluation process
 - Professionalisation
 - performance



Peer Review in Performance-based Research Funding Systems (PRFS) – MLE on Performance-based Research Funding Systems (PRFS). European Commission, July 2017

Session 2 – Discussion : evaluation of research and local / national research ecosystem

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- Research ecosystem

Energy



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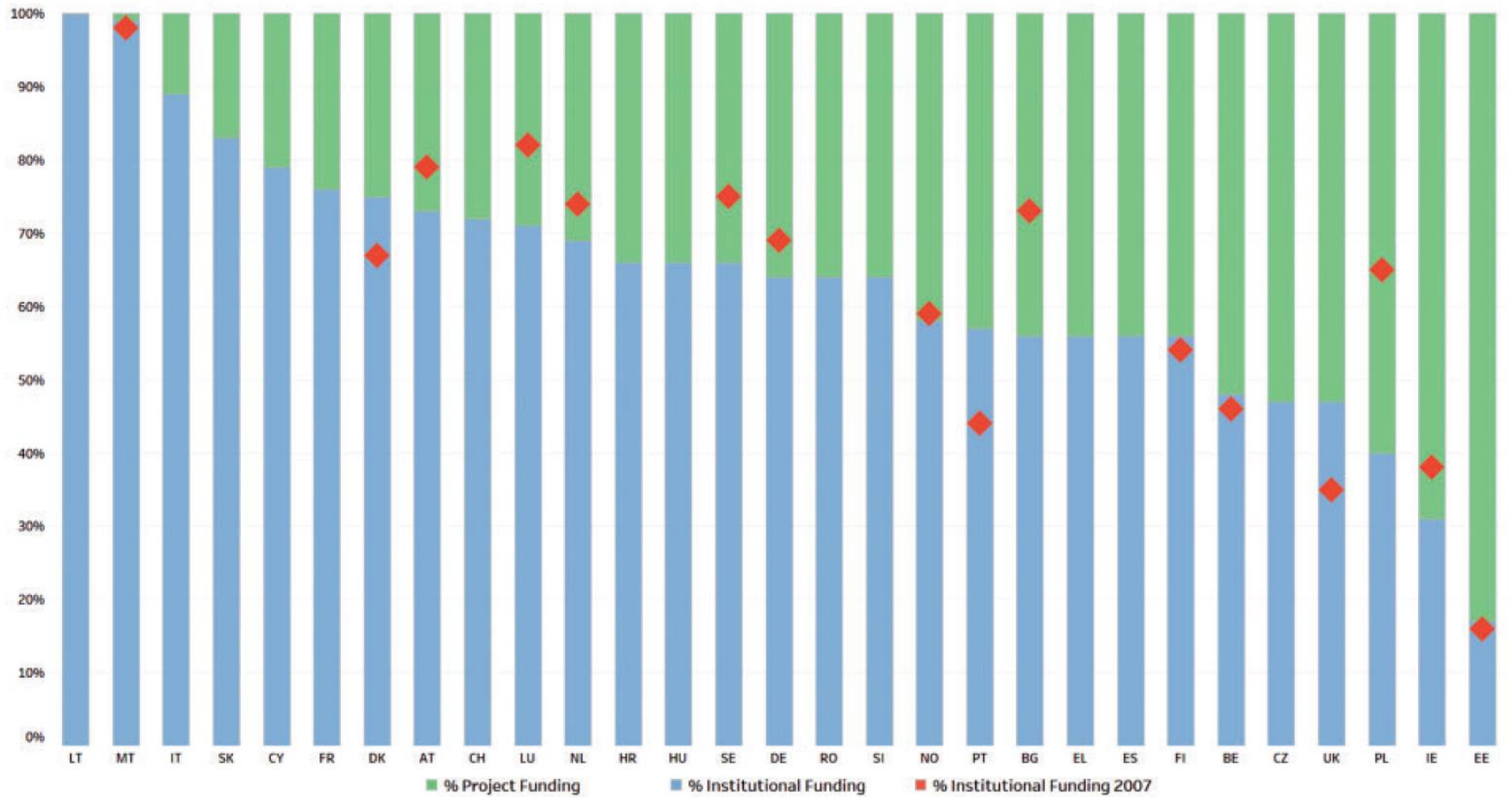
- Research ecosystem

Energy + interactions (positive and negative)



Session 2 – Discussion : evaluation of research and local / national research ecosystem

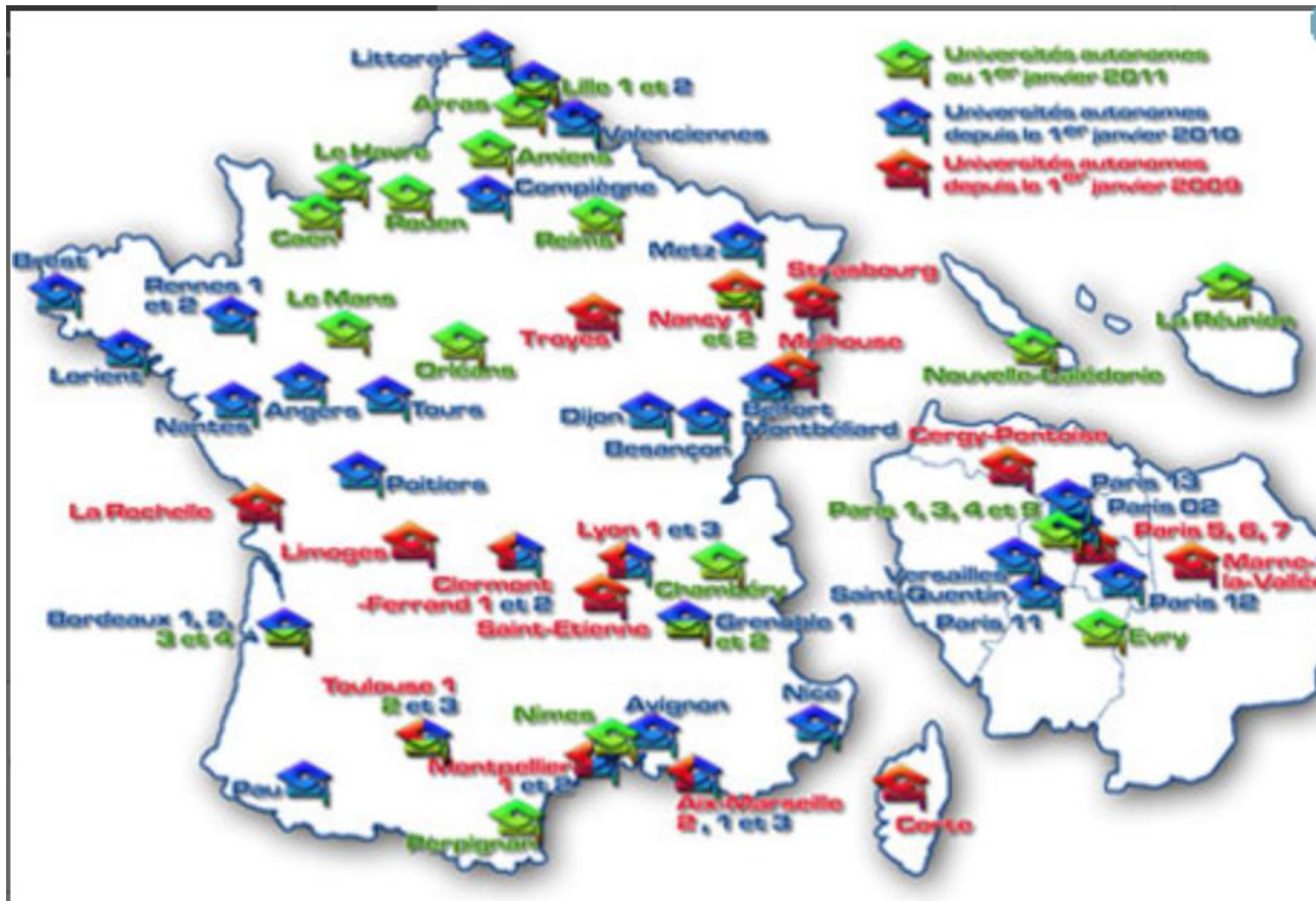
- Generic vs local

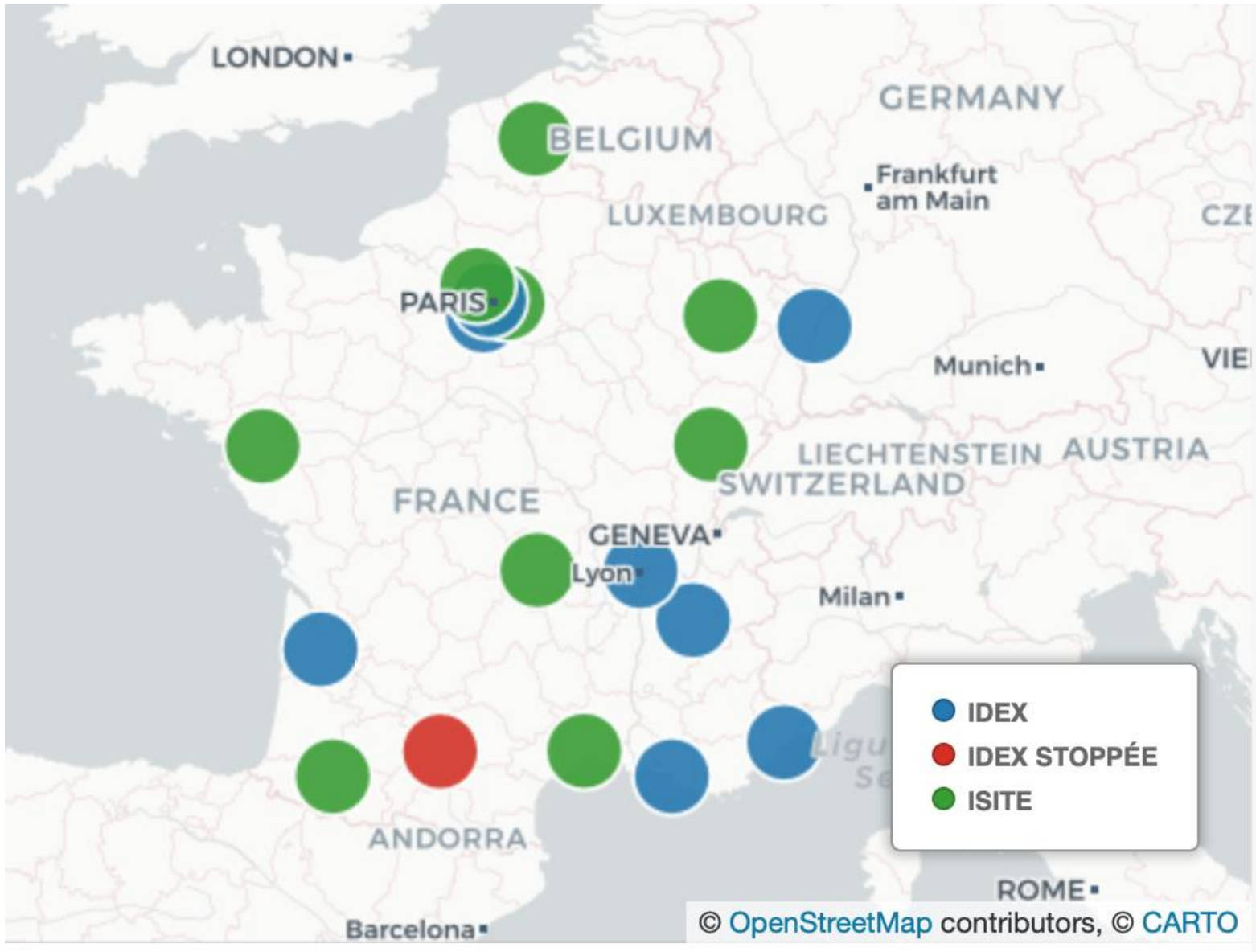


Session 2 – Discussion : evaluation of research and local / national research ecosystem

- Generic vs local
- Trajectory

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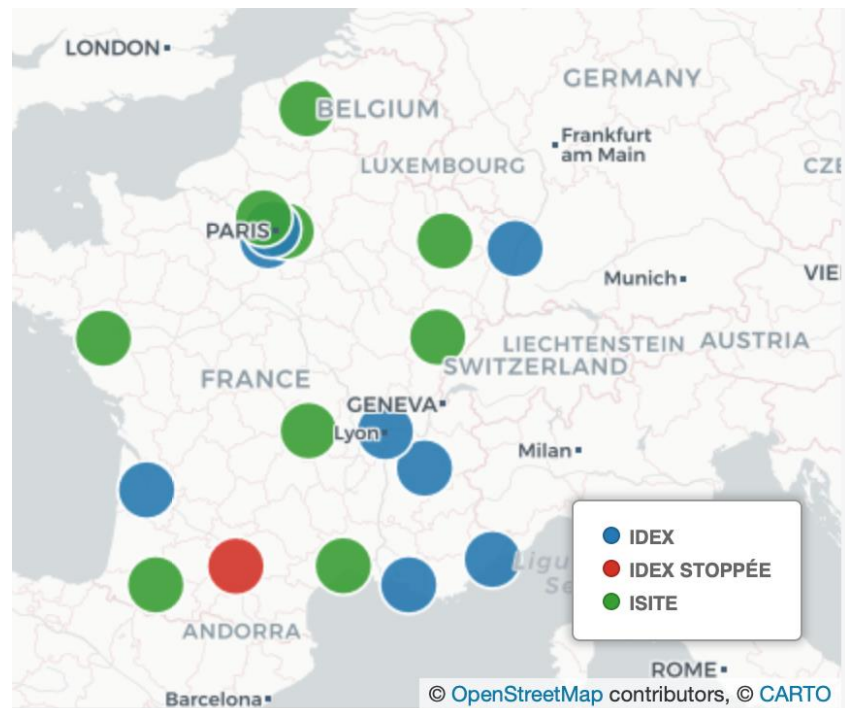




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Session 2 – Discussion : evaluation of research and local / national research ecosystem

- Generic vs local
- Trajectory
 - National R&HE policies
 - EU Framework
 - EHEA...
 - International benchmarking
- International competition vs domestic-local needs in Education and research



გმადლობთ თქვენი
ყურადღებებისთვის

Thank you for your attention